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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/134,014
Filing Date	August 14, 1998
First Named Inventor	Gruissem, Wilhelm, et al.
Group Art Unit	1643
Examiner Name	David T. Fox
Attorney Docket Number	018941-000200US

Total Number of Pages in This Submission

210

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Transmittal Form PTO SB/21; Fee  
Transmittal PTO SB/17 (Original + copy);  
Amendment (14pp); Information  
Disclosure Statement Under 37 CFR §1.97  
and §1.98 (2pp.); IDS by Applicant  
PTO/SB/08A and 08B ((3pp); References  
(22); Certificate of Mailing; Return  
Postcard

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Jean M. Lockyer, Ph.D.

Reg. No. 44,879

Signature

Date

July 18, 2002

## CERTIFICATE OF MAILING

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July 18, 2002

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Stephanie J. Whitehurst

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Date

July 18, 2002

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SF 1366788 v1

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<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> <i>Patent fees are subject to annual revision.</i> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>Complete if Known</b>	
		Application Number	09/134,014
		Filing Date	August 14, 1998
		First Named Inventor	Gruissem, Wilhelm
		Examiner Name	David T. Fox
		Group Art Unit	1643
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)	180
		Attorney Docket No	018941-000200US

<b>METHOD OF PAYMENT (check all that apply)</b>	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card <input type="checkbox"/> MoneyOrder <input type="checkbox"/> Other <input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account:	
Deposit Account Number	20-1430
Deposit Account Name	Townsend and Townsend and Crew LLP
The Commissioner is authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	
<b>FEE CALCULATION</b>	
<b>1. BASIC FILING FEE</b>	
<b>Large Entity</b>	<b>Small Entity</b>
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
101 740	201 370
106 330	206 165
107 510	207 255
108 740	208 370
114 160	214 80
<b>SUBTOTAL (1)</b> (\$)	
<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>	
Total Claims <input type="text"/> -20** = <input type="text"/> X <input type="text"/> = <input type="text"/>	
Independent Claims <input type="text"/> -3** = <input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent <input type="text"/> X <input type="text"/> = <input type="text"/>	
<b>Large Entity</b>	<b>Small Entity</b>
Fee Code	Fee Code
Fee (\$)	Fee (\$)
Fee Description	Fee Paid
103 18	203 9
102 84	202 42
104 280	204 140
109 84	209 42
110 18	210 9
<b>SUBTOTAL (2)</b> (\$)	
**or number previously paid, if greater; For Reissues, see above	

<b>3. ADDITIONAL FEES</b>		<b>FEE CALCULATION (continued)</b>	
<b>Large Entity</b>	<b>Small Entity</b>	<b>Fee Description</b>	<b>Fee Paid</b>
Fee Code	Fee Code		
Fee (\$)	Fee (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	180
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3)</b>	(\$180)

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Jean M. Lockyer, Ph.D.	Registration No. (Attorney/Agent)	44,879
Signature		Telephone	415-576-0200
		Date	July 18, 2002

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